



FEB 27 2002

TECH CENTER 1600/2900

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**For: A COMPOUND AND METHOD OF  
TREATMENT FOR FUNGAL  
PATHOLOGIES OF THE ORAL CAVITY**

**Examiner:** Not Yet Assigned

FEB 27 2002

TECH CENTER 1600/2900

#4  
D.G.  
9/25/02

LA-217603.1

- ☐ However, if the undersigned is in error in this regard, Applicant respectfully requests that the Office consider this IDS as filed under 37 CFR § 1.97(c), if applicable, and a statement under 37 CFR § 1.97(e) is included below, thus no fee is required.

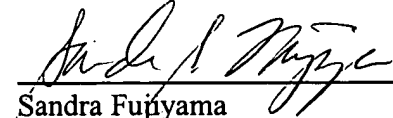
**PAYMENT AND/OR AUTHORIZATION TO CHARGE FEES:**

- ☐ A check in the amount of \_\_\_\_\_ is enclosed for the above fee(s).  
☐ Please charge \_\_\_\_\_ to Deposit Account No. **12-2475** for the above fee(s).

The Commissioner is authorized to charge any fees required by the filing of these papers, and to credit any overpayment to Lyon & Lyon's Deposit Account No. **12-2475**.

Respectfully submitted,  
LYON & LYON LLP

Dated: 4/12/01

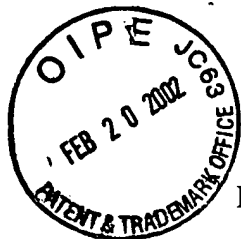
By:   
Sandra Fujiyama  
Reg. No. 46,713



**22249**

LYON & LYON LLP  
633 W. Fifth Street,  
Suite 4700  
Los Angeles, CA  
90071

1646



Patent  
Attorney Docket: 257/019

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

James M. Lipton

Serial No.: 09/774,282

Filed: January 29, 2001

For: A COMPOUND AND METHOD  
TREATMENT FOR FUNGAL  
PATHOLOGIES OF THE ORAL  
CAVITY



Group Art Unit: 1646

Examiner: To Be Assigned

RECEIVED

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TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
Washington, D.C. 20231

Sir:

I. DOCUMENTS ENCLOSED:

Applicant submits the following documents with this Transmittal Letter.

- (1) Information Disclosure Statement;
- (2) Form PTO-1449; and
- (3) References AA and DU.

LA-217629.1

CERTIFICATE OF MAILING  
(37 C.F.R. §1.8a)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as First Class Mail in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231.

December 12, 2001

Date of Deposit

Rachel Marquez

Name of Person Mailing Paper

Signature of Person Mailing Paper

## II. REQUEST FOR EXTENSION OF TIME:

The proceedings herein are for a patent application and the provisions of 37 CFR § 1.136 apply.

- ☐ Applicant(s) petitions for an extension of time under 37 CFR § 1.136 [fees: 37 CFR § 1.17(a)(1)-(5)] for the total number of months checked below:

EXTENSION (months)	FEE FOR SMALL ENTITY	FEE FOR OTHER THAN SMALL ENTITY
<input type="checkbox"/> one month	\$55.00	\$110.00
<input type="checkbox"/> two months	\$195.00	\$390.00
<input type="checkbox"/> three months	\$445.00	\$890.00
<input type="checkbox"/> four months	\$695.00	\$1,390.00
<input type="checkbox"/> five months	\$945.00	\$1,890.00

Fee

- ☒ If any extension fee is required, please consider this a petition therefor.

## III. FILING FEES

- ☐ Applicant claims small entity status pursuant to 37 CFR 1.27.

<b>BASIC FILING FEE:</b>					\$0.00
Total Claims	0	-	20	= 0 x \$18.00	\$0.00
Independent Claims		-	3	= 0 x \$80.00	\$0.00
Multiple Dependent Claims	\$270	(if applicable)		<input type="checkbox"/>	\$0.00
Surcharge 37 CFR § 1.16(e)	\$130	(if applicable)		<input type="checkbox"/>	\$0.00
<b>TOTAL OF ABOVE CALCULATIONS</b>					\$0.00
Reduction by ½ for Filing by Small Entity. Note 37 CFR §§ 1.9, 1.27, 1.28.					\$0.00
Extension of Time (from above)					\$0.00
Assignment -- \$40 (if applicable)					\$0.00
<b>TOTAL FEES SUBMITTED HERewith</b>					\$0.00

## IV. METHOD OF PAYMENT OF FEES:

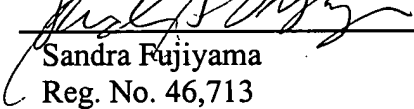
- ☐ A check in the amount of \_\_\_\_\_ is enclosed to cover the above fee(s).
- ☐ Charge Lyon & Lyon's Deposit Account No. 12-2475 in the amount of \$\_\_\_\_\_.

☒ The Commissioner is authorized to charge Lyon & Lyon's Deposit Account No. **12-2475** for any fees required under 37 CFR §§ 1.16, 1.17 and 1.445 that are not covered, in whole or in part, by a check enclosed herewith and to credit any overpayments to said Deposit Account No. **12-2475**.

Respectfully submitted,

LYON & LYON LLP

Dated: 12/12/07

By:   
Sandra Fujiyama  
Reg. No. 46,713



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PATENT TRADEMARK OFFICE

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